

Placebo-driven clinical trials of yeast-derived β -(1,3) glucan in children with chronic respiratory problems

Vaclav Vetvicka¹, Josef Richter², Vladimír Svozil³, Lucie Rajnohová Dobiášová², Vlastimil Král²

¹University of Louisville, Department of Pathology, Louisville, KY, USA; ²Zdravotní ústav se sídlem v Ústí nad Labem, Czech Republic; ³Sanatorium EDEL, Zlaté Hory, Czech Republic

All authors contributed equally.

Corresponding to: Dr. Vaclav Vetvicka. University of Louisville, Department of Pathology, 511 S. Floyd, Louisville, KY 40202, USA. Email: vaclav.vetvicka@louisville.edu.

Background: The role of glucan in stimulation of immune reactions has been studied for several decades. In this report we focused on the effects of orally administered glucan in children with chronic respiratory problems.

Materials and methods: We measured the levels of albumin, lysozyme and CRP in saliva of 40 children aged 8-12 years and evaluate the effects of 100 mg/d oral dose of glucan.

Results: We found a significant increase in production of changes in production of lysozyme and CRP in glucan-treated children. In addition, a strong improvement in general conditions was found.

Conclusions: Short-term oral application of natural immunomodulator β -glucan stimulated mucosal immunity of children with chronic respiratory problems.

Key Words: Glucan; children; mucosal immunity; lysozyme; saliva

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1 Introduction

2 Forty years ago, β -glucans were first described as biological
3 response modifiers (BRM) that could stimulate tumor
4 rejection in mice. As with many other BRM, they were
5 classified as “non-specific” because their molecular target(s)
6 were unknown and their effects appeared to be pleiotropic
7 and unpredictable. Nevertheless, there is extensive literature
8 regarding the activity of β -glucans in animal tumor
9 models (1) and, for the past 30 years, Japan has used several
10 forms of mushroom-derived β -glucan in cancer patients (2).

11 Our research has shown that CR3 serves as a major
12 receptor for β -glucans with human or mouse leukocytes
13 and is probably responsible for all reported functions
14 of β -glucans. Unlike other non-specific BRM, β -glucan
15 specifically targets macrophages, neutrophils, and NK cells
16 to tumors that are opsonized with antibodies and C3 (3).
17 Therefore, β -glucan has the same specificity as the tumor-
18 opsonizing antibodies. This research, in particular, has
19

20 shown the therapeutic value in mice of small β -glucans
21 that bind to CR3 and prime the receptor for subsequent
22 cytotoxic activation if, and only if, membrane CR3 is
23 subsequently clustered by contact with the clustered iC3b
24 coating a tumor cell. Several studies have shown the safety
25 of β -glucans and the absence of side effects.

26 The targets for β -glucan-primed CR3 include any iC3b-
27 opsonized host cell or microbial pathogen. Tumors appear
28 to be frequently opsonized with IgM and/or IgG Abs and
29 iC3b as the result of an ineffective humoral response and
30 enhancement could occur with either vaccines or mAbs to
31 tumor antigens. Cells infected with viruses or intracellular
32 bacteria also often activate C, either because they have
33 become activators of the alternative pathway or through
34 Abs that activate the classical pathway of C. The common
35 feature of target cell bound iC3b appears to explain the
36 wide range of diseases that have been reported to respond
37 to therapy with β -glucans (4,5).

38 Our data on mice have shown that resistance to β -glucan
39 therapy corresponds to the absence of tumor cell-bound C3
40 and that the success of β -glucan therapy can be assured by
41 antibodies to tumor antigens that enhance the target cell
42 density of bound antibodies and C3 (6). Normal human and
43 mouse sera contain low levels of Abs reactive with syngeneic
44 or allogeneic tumor lines that activate complement,
45 depositing iC3b onto tumors. Tumors implanted in mice
46 became coated with IgM, IgG, and C3 and the absent C3
47 deposition on tumors in SCID mice was reconstituted with
48 IgM or IgG isolated from normal syngeneic sera.

49 Rodent studies indicate that glucan supplements offset
50 the increased risk of infection, either with or without stress
51 association, mostly via augmentation of immunological
52 activities, including cellular immunity (7). The defensive
53 mechanisms of the lungs involve surface fluids (such as
54 mucous and other material contained in the surface lining
55 of the lungs; epithelial resources including cilia and mucous
56 glands and alveolar macrophages; and immunocytologic
57 reserves including the blood leukocytes and various
58 immunoglobulins. Glucans were found effective in most of
59 these cases (8,9), but the effects on mucosal immunity, thus
60 far, have not been studied. At the same time, respiratory
61 infections, particularly upper respiratory infections, are
62 the highest-incidence acute illnesses in the developed
63 world. According to the estimates, in the United States
64 alone, the average adult has 2-to-4 colds per year and
65 the average schoolchild 6-to-10 (10). Although patients
66 with complications, such as bronchospasm or otitis media
67 may benefit from antibiotic or inhaler treatment, medical
68 science has little to offer for uncomplicated infections.
69 Nevertheless, antibiotics are commonly prescribed, despite
70 the well-established knowledge of little benefit. Clearly,
71 there is a need for effective, safe, and inexpensive treatment
72 of chronic respiratory problems. β -Glucan can be just the
73 right solution.

74 **Materials and methods**

76 *Protocol*

77 A randomized, double-blind, placebo-controlled trial
78 compared β -glucan #300 and placebo in children. Forty
79 children (24 females, 16 males, age 8-12, average 10.7 ± 2.3)
80 from the sanatorium for respiratory diseases EDEL were
81 enrolled in 4-week trial. The entire trial was conducted
82 at the Sanatorium EDEL (Zlate Hory, Czech Republic)
83 and the study was approved by the Ethics committee of
84 the Public Health Institute and Sanatorium EDEL Czech
85
86

Republic. This study was performed in agreement with 87
Helsinki declaration (revised version 2000.09.01) and in 88
full agreement of rules for clinical testing for the Czech 89
Republic. Parental consent was given in all cases. 90

Subjects were randomly assigned to groups which were 91
blinded to intervention. During the intervention period, 92
subject consumed 100 mg/d of β -glucan or placebo. Both 93
glucan and placebo capsules looked identical. Subjects were 94
routinely evaluated by the medical staff. 95

96 *Glucan*

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99 Yeast-derived insoluble glucan #300 were purchased from
Transfer Point (Columbia, SC), this glucan is over 85% 100
pure. 101

102 *Tests*

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105 In all subjects we obtained saliva at the beginning of the
study and at the endpoint of their stay in Sanatorium. We 106
used identical times (between 8 and 9 AM) for sampling, 107
so the possible influence of circadian rhythms could be 108
eliminated. 109

Saliva was collected using a commercial Salivette device 110
(Sarstead, Orsay, France). A cotton swab was added into a 111
sterile container and centrifuged at 2,000 g for 15 minutes 112
and stored at -20°C . We measured the levels of albumin, 113
and C-reactive protein (CRP) in saliva using nephelometer 114
Siemens BM II as suggested by the manufacturer. Lysozyme 115
was measured using photometer Dynex MRX (The 116
Microtiter Comp.) using egg lysozyme as a standard. 117

118 *Statistical analysis*

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121 Statistical significance was evaluated by a pair t-test using a
GraphPad Prism 502 software (GraphPad Software, USA). 122

123 **Results**

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126 All children participating in our study are living at the
same locality at Northern Moravia, which is known for its 127
extremely high level of pollution. Only children diagnosed 128
with repeated upper airways infections, chronic bronchitis, 129
allergies or asthma were used in this study. 130

All subjects were given identical food and were identically 131
treated using climatotherapy and speleotherapy. In addition, 132
the full medical examination was given at the beginning and 133
at the end of the trial. 134

Table 1 Mean concentration of C-reactive protein, albumin and lysozyme in saliva of children at baseline (day 1) and after completion of oral administration of glucan (day 30)

	GL 1 (n=21)	GL 2 (n=21)	C 1 (n=19)	C 2 (n=19)
CRP (mg/L)	2.04±3.09	5.75±2.34	4.47±3.31	4.47±2.82
ALBUMIN (mg/L)	95.5±3.4	63.1±2.5	100.0±2.45	60.3±2.7
LYSOZYME (mg/L)	16.2±3.2	24.6±3.2	13.5±3.8	7.6±2.6

GL 1 represents glucan group at day 1; GL 2 at day 30. C 1 represents control (placebo) group at day 1; C 2 at day 30

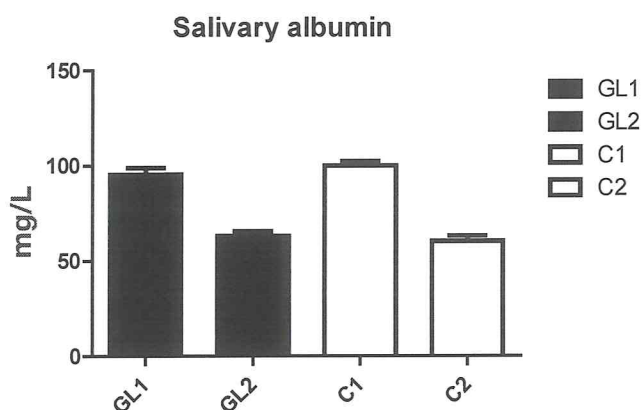


Figure 1 Effects of 4-week oral administration of glucan (100 mg/day) on albumin levels in saliva. GL 1 represents glucan group at day 1; GL 2 at day 30. C 1 represents control (placebo) group at day 1; C 2 at day 30. Significant at P<0.05 between groups

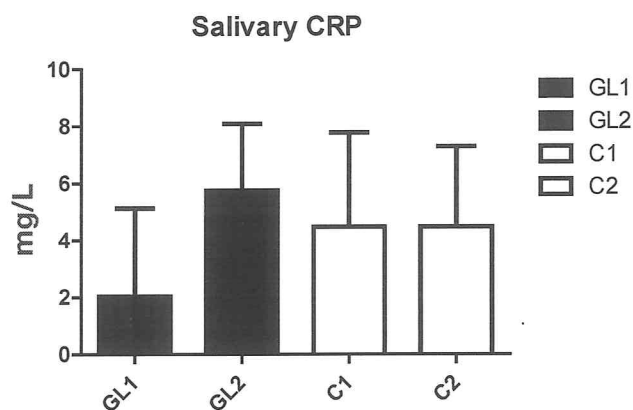


Figure 2 Effects of 4-week oral administration of glucan (100 mg/day) on CRP levels in saliva. GL 1 represents glucan group at day 1; GL 2 at day 30. C 1 represents control (placebo) group at day 1; C 2 at day 30. Significant at P<0.05 between groups

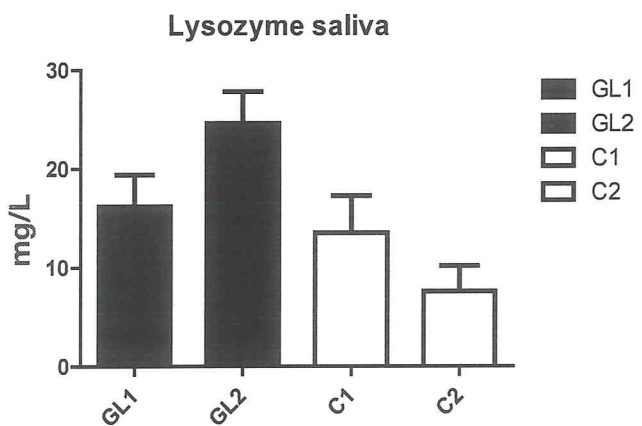


Figure 3 Effects of 4-week oral administration of glucan (100 mg/day) on lysozyme levels in saliva. GL 1 represents glucan group at day 1; GL 2 at day 30. C 1 represents control (placebo) group at day 1; C 2 at day 30. Significant at P<0.05 between groups

The level of albumin in saliva at the beginning of the trial was elevated in both groups, which corresponds to the

actual clinical state of children living in heavily polluted areas. A month of treatment resulted in significant decrease of albumin levels in both groups (Table 1, Figure 1).

With respect to CRP, the levels did not significantly change during the study (Figure 2). However, the changes in lysozyme levels were very strong. In the glucan group, we observed significant increase (from 13.2 to 24.6 mg/L), whereas control group showed significant decrease (from 13.5 to 7.6 mg/mL; Figure 3).

Discussion

β-Glucan used in this study is one of the most studied glucans on the current market. Series of studies showed that it stimulates the cellular and humoral branches of immune system (11), protects against mercury poisoning (12), positively influences levels of cholesterol and blood sugar (13), inhibits cancer growth (14), and potentiates wound healing (15,16). In addition, these effects were similarly profound when administered orally or intraperitoneally (17).

157 This is the first placebo-driven clinical study to assess
 158 the effects of orally-administered glucan in children with
 159 chronic respiratory problems. As glucan can influence levels
 160 of secretory proteins in saliva (18), we decided to test the
 161 effects of orally-administered glucan on changes in some
 162 immunologically important proteins in saliva.

163 Albumin is a known indicator of inflammation (19), as
 164 albumin levels in saliva and other body fluids correspond to
 165 the degree of inflammation of mucose and are influenced by
 166 diffusion from capillary bed (20). Sanatorium for respiratory
 167 diseases is localized in area of extremely low pollution.
 168 As inflammation influenced by infection, environmental
 169 pollution and/or passive smoking increases diffusion of
 170 albumin into saliva (21,22), decrease in albumin levels in
 171 both tested groups corresponds with positive changes in
 172 atmospheric pollution during the tested interval. Another
 173 positive factor is the ending the influence of passive
 174 smoking (over 40% of children evaluated in this study was
 175 exposed to passive smoking by their parents).

176 Our findings of levels of C-reactive proteins in children's
 177 saliva showed no significant results even after a month
 178 of treatment, with only slight increase in children with
 179 manifestation of infection of upper respiratory tract. We
 180 expect that higher levels of CRP at the beginning of the
 181 trial is influenced by passive smoking (21,23). Steady CRP
 182 levels suggest minimal effects of stress reaction and monitor
 183 positive effects of climatotherapy and speleotherapy in
 184 tested children (24).

185 The most important response to tested glucan was
 186 found in lysozyme levels. Monocytes are the source of
 187 lysozyme in saliva. Lysozyme represents an important
 188 component of innate non-immunoglobulin immunity with
 189 antimicrobial properties, ability to inhibit bacterial growth
 190 and metabolism (25). In addition, salivary levels of lysozyme
 191 can be influence by stress (26,27). However, glucan has been
 192 found to strongly increase the lysozyme production (28) on
 193 both protein and genomic level (29).

194 Salivary defense factors, including factors such as
 195 C-reactive protein and lysozyme, represent significant
 196 part of mucosal immunity, particularly in immunodeficient
 197 patients (30) and children prone to respiratory infections (31).
 198 In areas of heavy environmental pollution, the situation
 199 remains serious despite several compensatory actions
 200 including short-time moving to rural areas (32). Stimulation
 201 of immune system by well-established immunomodulator
 202 remains one of possible remedies. Our findings showed
 203 that short term oral administration of glucan significantly
 204 increased the salivary levels of CRP and lysozyme in

children with chronic respiratory problems suggesting that
 this treatment stimulated mucosal immunity. From our
 results we can conclude that glucan administration might
 be considered as an inexpensive method in the treatment of
 chronic respiratory problems in children.

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